



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,560	01/05/2001	Kenneth E. Gonsalves	46872-257422

CONFIRMATION NO. 5242

## FORMALITIES LETTER



\*OC000000007419516\*

23370  
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ATLANTA, GA 30309

Date Mailed: 02/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/29/2002 HMARZ11 00000020 09992560

01 FC:201	370.00 OP
02 FC:202	168.00 OP
03 FC:203	459.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1254.
  - \$918 for 51 total claims over 20.
  - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2124.

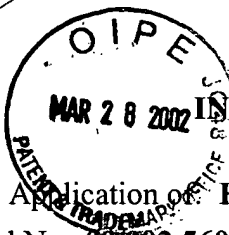
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 HMARZ11 00000020 09992560  
370.00 OP  
168.00 OP  
459.00 OP  
65.00 OP  
01 FC:201  
02 FC:202  
03 FC:203

PATENTS *MP*In re Application of **KENNETH E. GONSALVES**

Docket No. 46872-257422

Serial No. 097992,560

Filed: **November 5, 2001**For: **HIGH RESOLUTION RESISTS FOR NEXT GENERATION  
LITHOGRAPHIES****RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED**Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.  
☒ Declaration and Power of Attorney.  
☐ Petition for Extension of Time Under 37 C.F.R. § 1.136.  
☒ The additional fee is calculated as shown below.  
☒ Applicant claims small entity status.

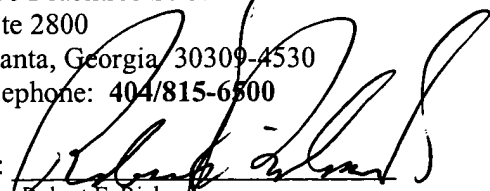
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$370	370	\$740	
TOTAL CLAIMS	71 - 20 =	51	x9 =	459	x18 =	
INDEP. CLAIMS	7 - 3 =	4	x42 =	168	x84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =	65	+130 =	
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER					+435 =	
TOTAL				\$1,062	TOTAL	

- ☒ A check in the amount of \$1,062 is attached.  
☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 20, 2002.

  
Robert E. Richards

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By:   
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Reg. No. 29,105